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Bib Data Sheet

CONFIRMATION NO. 8120

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|---|---|-------------------------------|---|--|--------------------------------|
| SERIAL NUMBER 09/970,078 | FILING DATE 10/03/2001 RULE | CLASS 705 | GROUP ART UNIT 2163 | ATTORNEY DOCKET NO. 5226-02200 | |
| APPLICANTS John Bunda, Austin, TX; ** CONTINUING DATA ***** <i>none SC</i> ** FOREIGN APPLICATIONS ***** <i>none SC</i> | | | | | |
| IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/31/2001 | | | | | |
| Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged Examiner's Signature <i>SC</i> Initials | | STATE OR COUNTRY TX | SHEETS DRAWING 8 | TOTAL CLAIMS 41 | INDEPENDENT CLAIMS 2 |
| ADDRESS ERIC B. MEYERTONS CONLEY, ROSE & TAYON, P.C. P.O. BOX 398 AUSTIN, TX 78767-0398 | | | | | |
| TITLE Methods and systems for managing a portfolio of securities | | | | | |
| FILING FEE RECEIVED 1248 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | |